

BCG Securities, Inc.'s Business Continuity Plan Summary Disclosure Statement

BCG Securities, Inc. has developed a Business Continuity Plan on how we will respond to a significant business disruption (SBD). Since the timing and impact of disasters and disruptions is unpredictable, we will have to be flexible in responding to actual events as they occur. With that in mind, we are providing you with this information on our business continuity plan.

Contacting Us – If after a significant business disruption you cannot contact us as you usually do by calling our office telephone at 888-394-2247, by e-mail at info@bcgsecurities.com, calling our alternative number 800-524-4015, or look for more information at our website, www.bcgsecurities.com. If you cannot access us through either of those means, you should contact our clearing firm, Pershing, LLC, at 201-413-3900, or go to www.pershing.com for instructions on how it may provide access to your accounts, securities, enter trade orders or provide other services related to your account. In the event of a SBD, you may still view your account holdings through www.bcgsecurities.com or you may contact Pershing, LLC to have your account set up for online access.

Our Business Continuity Plan – Our goal is to quickly recover and resume business operations after a SBD and respond by safeguarding our employees and property, making a financial and operational assessment, protecting the firm's books and records, and allowing our customers to transact business. In short, our business continuity plan is designed to permit our firm to resume operations as quickly as possible, given the scope and severity of the SBD.

Our business continuity plan addresses: data back up and recovery; all mission critical systems; financial and operational assessments; alternative communications with customers, employees, and regulators; alternate physical location of employees; critical suppliers, contractors, banks and counter-party impact; regulatory reporting; and assuring our customers prompt access to their funds and securities if we are unable to continue our business.

Pershing, LLC backs up our important records in a geographically separate area from where they and BCG Securities conducts its business. While every emergency situation poses unique problems based on external factors, such as time of day and the severity of the disruption, we have been advised by Pershing, LLC that its objective is to restore its own operations and be able to complete existing transactions and accept new transactions and payments within a reasonable period of time. Your orders and requests for funds and securities could be delayed during this period.

Varying Disruptions – Significant business disruptions can vary in their scope, such as those only effecting our firm, a single building housing our firm, the business district where our firm is located, the city where we are located, or the whole region. Within each of these areas, the severity of the disruption can also vary from minimal to severe. In a disruption to only our firm or a building housing our firm, we will recover our backed-up data and transfer our operations to a local site when needed and expect to recover and resume business within one business day. In a disruption affecting our business district, city, or region, we will transfer our operations to a site outside of the affected area, and recover and resume business within three business days. In either situation, we plan to continue in business, transfer day-to-day operations to Pershing, LLC if necessary, and notify you through our web site, www.bcgsecurities.com or our customer emergency numbers, 888-394-2247, or 856-393-1951 of how to contact us. If the SB is so severe that it prevents us from remaining in business, we will assure our customer's will have prompt access to their funds and securities.

For more information – If you have questions about our business continuity planning, you can contact us at 888-394-2247 or by e-mail at info@bcgsecurities.com